

# The group structure of rational points of elliptic curves over a finite field

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## Introduction

- Cryptography!
- We are interested in  $E(\mathbb{F}_q)$ , where  $E$  is an elliptic curve over a finite field  $\mathbb{F}_q$ ;
- References: [Sil86; Len96; Wat69; WM71; Mil06];

# Torus

- An elliptic curve  $E/\mathbb{C}$  is a torus  $E = \mathbb{C}/\Lambda$ , where  $\Lambda$  is a lattice  $\Lambda = \tau\mathbb{Z} + \mathbb{Z}$ , ( $\tau \in \mathfrak{H}$ ).
- Let  $\wp(z, \Lambda) = \sum_{w \in \Lambda \setminus \{0\}} \frac{1}{(z-w)^2} - \frac{1}{w^2}$  be the Weierstrass  $\wp$ -function and  $E_{2k}(\Lambda) = \sum_{w \in \Lambda \setminus \{0\}} \frac{1}{w^{2k}}$  be the (normalised) Eisenstein series of weight  $2k$ .
- Then  $\mathbb{C}/\Lambda \rightarrow E, z \mapsto (\wp(z, \Lambda), \wp'(z, \Lambda))$  is an analytic isomorphism to the elliptic curve

$$y^2 = 4x^3 - 60E_4(\Lambda)x - 140E_6(\Lambda) = 4x^3 - g_2(\Lambda)x - g_3(\Lambda).$$

- In particular the elliptic functions are rational functions in  $\wp, \wp'$ :  $\mathbb{C}(E) = \mathbb{C}(\wp, \wp')$ .
- Two elliptic curves  $E = \mathbb{C}/\Lambda$  and  $E' = \mathbb{C}/\Lambda'$  are isomorphic if there exists  $\alpha \in \mathbb{C}^*$  such that  $\Lambda = \alpha\Lambda'$ ;
- Two elliptic curves are isomorphic if and only if they have the same  $j$ -invariant:  $j(\Lambda) = j(\Lambda')$ .

$$j(\Lambda) = 1728 \frac{g_2^3}{g_2^3 - 27g_3^2}.$$

# Lattices

- $\wp$  is homogeneous of degree  $-2$  and  $\wp'$  of degree  $-3$ :

$$\wp(\alpha z, \alpha \Lambda) = \alpha^{-3} \wp(z, \Lambda);$$

- Up to normalisation one has  $\Lambda = \tau\mathbb{Z} + \mathbb{Z}$  with  $\tau \in \mathfrak{H}_g$  the upper half plane;
- This gives a parametrisation of lattices  $\Lambda$  by  $\tau \in \mathfrak{H}_g$ ;
- If  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$  then a new basis of  $\Lambda$  is given by  $(a\tau + b, c\tau + d)$ ;
- We can normalize this basis by multiplying by  $(c\tau + d)^{-1}$  to get  $\Lambda' = \frac{a\tau + b}{c\tau + d} \mathbb{Z} + \mathbb{Z}$ ;
- The isomorphism class of elliptic curves is then parametrized by  $\mathfrak{H}_g / \mathrm{SL}_2(\mathbb{Z})$ .

## Elliptic curves over a field $k$

### Definition

An elliptic curve  $E/k$  ( $k$  perfect) can be defined as

- A nonsingular projective plane curve  $E/k$  of genus 1 together with a rational point  $0_E \in E(k)$ ;
- A nonsingular projective plane curve  $E/k$  of degree 3 together with a rational point  $0_E \in E(k)$ ;
- A nonsingular projective plane curve  $E/k$  of degree 3 together with a rational point  $0_E \in E(k)$  which is a point of **inflection**;
- A non singular projective curve with equation (the **Weierstrass equation**)

$$Y^2Z + a_1XYZ + a_3YZ^2 = X^3 + a_2X^2Z + a_4XZ^2 + a_6Z^3$$

(in this case  $0_E = (0 : 1 : 0)$ );

## Choice of the base point

### Remark

- If  $E$  is a nonsingular projective plan curve of degree 3 and  $O \in E(k)$ , then if  $O$  is an inflection point there is a linear change of variable which puts  $E$  into Weierstrass form and  $O = (0 : 1 : 0)$ , but otherwise needs a non linear change of variable to transform  $O$  into an inflection point;
- If  $\text{char } k > 3$  then a linear change of variable on the Weierstrass equation gives the short Weierstrass equation:

$$y^2 = x^3 + ax + b.$$

## Class of isomorphisms of elliptic curves

- The Weierstrass equation:

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

has discriminant  $\Delta_E = -b_2b_8 - 8b_3 - 27b_2 + 9b_2b_4b_6$  so it defines an elliptic curve whenever  $\Delta_E \neq 0$ .

(Here  $b_2 = a_1^2 + 4a_2$ ,  $b_4 = 2a_4 + a_1a_3$ ,  $b_6 = a_3^2 + 4a_6$ ,  
 $b_8 = a_1^2a_6 + 4a_2a_6 - a_1a_3a_4 + a_2a_3^2 - a_4^2$ ).

- The  $j$ -invariant of  $E$  is

$$j_E = \frac{(b_2^2 - 24b_4)^3}{\Delta_E}$$

- When we have a short Weierstrass equation  $y^2 = x^3 + ax + b$ , the discriminant is  $-16(4a^3 + 27b^2)$  and the  $j$ -invariant is

$$j_E = 1728 \frac{4a^3}{4a^3 + 27b^2}.$$

### Theorem

Two elliptic curves  $E$  and  $E'$  are isomorphic over  $\bar{k}$  if and only if  $j_E = j_{E'}$ .

## Isomorphisms and Twists

- The isomorphisms (over  $\bar{k}$ ) of isomorphisms of elliptic curves in Weierstrass form are given by the maps

$$(x, y) \mapsto (u^2x + r, u^3y + u^2sx + t)$$

for  $u, r, s, t \in \bar{k}$ ,  $u \neq 0$ .

- If we restrict to elliptic curves of the form  $y^2 = x^3 + ax + b$  then  $s = t = 0$ .
- A twist of an elliptic curve  $E/k$  is an elliptic curve  $E'/k$  isomorphic to  $E$  over  $\bar{k}$  but not over  $k$ .

### Example

- Every elliptic curve  $E/\mathbb{F}_q : y^2 = x^3 + ax + b$  has a quadratic twist

$$E' : \delta y^2 = x^3 + ax + b$$

for any non square  $\delta \in \mathbb{F}_q$ .  $E$  and  $E'$  are isomorphic over  $\mathbb{F}_q^2$ .

- If  $E/\mathbb{F}_q$  is an **ordinary** elliptic curve with  $j_E \notin \{0, 1728\}$  then the only twist of  $E$  is the quadratic twist. If  $j_E = 1728$ , then  $E$  admits 4 twists. If  $j_E = 0$ , then  $E$  admits 6 twists.



## The addition law

- Let  $E$  be an elliptic curve given by a Weierstrass equation
- Then  $(E, 0_E)$  is an abelian variety;
- The addition law is recovered by the chord and tangent law;
- If  $k = \mathbb{C}$  this addition law coincides with the one on  $\mathbb{C}$  modulo the lattice  $\Lambda$ . (The addition law of an abelian variety is fixed by the base point, and the base point  $0 \in \mathbb{C}$  corresponds to the point at infinity of  $E$  since  $\wp$  and  $\wp'$  have a pole at 0).
- For  $E : y^2 = x^3 + ax + b$  the addition law is given by

$$P + Q = -R = (x_R, -y_{-R})$$

$$\alpha = \frac{y_Q - y_P}{x_Q - x_P} \quad \text{or} \quad \alpha = \frac{f'(x_P)}{2y_P} \quad \text{when } P = Q$$

$$x_R = \alpha^2 - x_P - x_Q$$

$$y_{-R} = y_P + \alpha(x_R - x_P)$$

- Indeed write  $l_{P,Q} : y = \alpha x + \beta$  the line between  $P$  and  $Q$  (or the tangent to  $E$  at  $P$  when  $P = Q$ ). Then  $y_{-R} = \alpha x_{-R} + \beta$  and  $y_P = \alpha x_P + \beta$  so  $y_{-R} = \alpha(x_R - x_P) + y_P$ . Furthermore  $x_R, x_P, x_Q$  are the three roots of  $x^3 + ax + b - (\alpha x + \beta)^2$  so  $x_P + x_Q + x_R = \alpha^2$ .

## Elliptic curves over other fields

- Why look at  $\mathbb{C}$ ? For cryptography we work with elliptic curves over finite fields;
- Everything that is true over  $\mathbb{C}$  is true over other fields except when it is not true (non algebraically closed fields, characteristic  $p$ ...). Example: “there are  $n^2$  points of  $n$ -torsion”.
- For things that are not true over other fields, change the definition so that it remains true. Examples: “the subscheme  $E[n]$  has degree  $n^2$ ”, definition of the Tate module  $T_p E$  as a  $p$ -divisible group when the characteristic is  $p$ ...

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## Transferring results from $\mathbb{C}$ to other fields

- If  $\bar{k}$  is an algebraically closed field of characteristic 0 and of cardinality  $2_0^{\aleph}$  then  $\bar{k}$  is isomorphic to  $\mathbb{C}$ ;
- If  $\bar{k}$  is an algebraically closed field of characteristic 0 it is elementary equivalent to  $\mathbb{C}$  so the first order statements valid over  $\mathbb{C}$  are valid over  $\bar{k}$  too;
- If a first order statement is true over  $\mathbb{C}$ , it is also true for all algebraically closed field of characteristic  $p \gg 0$  (by compactness arguments);
- If  $E/\mathbb{F}_q$  is an elliptic curve over a finite field, it can be lifted to an elliptic curve over  $\mathbb{Q}_q$  (and  $\mathbb{Q}_q$  is a subfield of  $\mathbb{C}_q$  which is isomorphic to  $\mathbb{C}$  by the explanation above);
- If  $E/\mathbb{F}_q$  is an **ordinary** elliptic curve, there is a lift to  $\mathbb{Q}_q$  which respects  $\text{End}(E)$ ;
- A polynomial in  $\mathbb{Z}[X_1, \dots, X_n]$  which is 0 on a Zariski dense subset of  $\mathbb{C}^n$  is identically null.

### Example

If  $A \in \text{Mat}_n(R)$  is a matrix, then  $\text{adj } A \cdot A = A \cdot \text{adj } A = \det A \cdot \text{Id}$ . Indeed this is true for diagonalisable matrices over  $\mathbb{C}$  which form a dense Zariski subset (standard linear algebra), so it is true over any ring because the adjoint matrix is given by universal polynomials in the coefficients of  $A$ .

## Field of definition

- Let  $E/k$  be an elliptic curve, and let  $k_0$  be the base field of  $k$ ;
- There exist an elliptic curve  $E_0$  over  $k_0(j(E))$  which is a twist of  $E$ ;
- $E$  can then be defined over a finite algebraic extension of  $k_0(j(E))$ ;
- $k_0(j(E))$  is either algebraic over  $k_0$  or of transcendence degree 1.

### Corollary

*Every elliptic curve can be defined over a finite extension of  $\mathbb{F}_p(T)$  or  $\mathbb{Q}(T)$ . If  $\text{char } k = 0$ ,  $E$  can be defined over a subfield of  $\mathbb{C}$ .*

## $n$ -torsion over $k = \mathbb{C}$

- $E[n] = \{P \in E(k) \mid n \cdot P = 0_E\}$ ;
- If  $E = \mathbb{C}/\Lambda$ ,  $E[n] = \frac{1}{n}\Lambda/\Lambda$ ;
- $E[n] \simeq (\mathbb{Z}/n\mathbb{Z})^2$ .



## $n$ -torsion over $k = \bar{k}$

- Let  $\bar{k}$  be an algebraically closed field of characteristic  $p$ ;
- Let  $E : y^2 = x^3 + ax + b$  be an elliptic curve (for simplicity we assume  $p = 0$  or  $p > 3$ );
- Since  $E$  has dimension one,  $E(\bar{k})$  is infinite (Exercise);
- The subscheme  $E[n]$  has dimension 0 and degree  $n^2$ ;

# Proof

- Via division polynomials: there exists a unitary polynomial  $\varphi_n(x)$  of degree  $n^2$  such that  $[n]P = 0_E$  if and only if  $\varphi_n(x_P) = 0$  (Exercise: why does  $\varphi_n$  not depend on  $y$ ?);
- Via dual isogenies:  $[n]: E \rightarrow E$  is its own dual isogeny, so  $[\deg[n]] = [n] \circ \widehat{[n]} = [n^2]$ , and  $\deg[n] = n^2$ ;
- Via divisors: if  $D$  is a divisor on  $E$ , the theorem of the cube shows that  $[n]^*D$  is linearly equivalent to  $\frac{n^2+n}{2}D + \frac{n^2-n}{2}[-1]^*D$ . But  $\deg[n]^*D = \deg[n]\deg D$  so  $\deg[n] = \frac{n^2+n+n^2-n}{2} = n^2$ .

## Group structure of the $n$ -torsion

- $d[n]$  is the multiplication by  $n$  map on the tangent space  $T_{0_E} E$ , so  $[n]$  is étale whenever  $p \nmid n$ ;
- In this case  $\#E[n](\bar{k}) = n^2$  so  $E[n] \simeq (\mathbb{Z}/n\mathbb{Z})^2$  (Exercice);
- Either  $\#E[p](\bar{k}) = p$  (in which case  $E$  is an **ordinary** elliptic curve), or  $\#E[p](\bar{k}) = 0$  (and  $E$  is a **supersingular** elliptic curve);
- If  $E$  is ordinary,  $E[p^e] = \mathbb{Z}/p^e\mathbb{Z} \oplus \mu_{p^e}$  where  $\mu_p = \text{Spec } \mathbb{Z}[T]/(T^p - 1)$ ;
- If  $E$  is supersingular,  $E[p^e] = \alpha_{p^e}^2$  where  $\alpha_{p^e} = \text{Spec } \mathbb{Z}[T]/T^{p^e}$  is connected.

# Proof

- Let  $\pi$  be the (small) Frobenius,  $\hat{\pi}$  be the Verschiebung, then  $\pi$  is purely inseparable, and  $\pi \circ \hat{\pi} = [p]$ ,  $\hat{\pi} \circ \pi = [p]$ ,  $\deg \pi = \deg \hat{\pi} = p$ ;
- The Weil pairing  $e_n$  shows that  $E[n]$  (and in particular  $E[p]$ ) is self-dual;
- If  $\hat{\pi}$  is separable, then  $\mathbb{Z}/p\mathbb{Z}$  is a subscheme of  $E[p]$  and so is its dual  $\mu_p$ . Taking degrees yield  $E[p] = \text{Ker } \hat{\pi} \oplus \text{Ker } \pi = \mathbb{Z}/p\mathbb{Z} \oplus \mu_p$ .
- Otherwise  $\hat{\pi}$  is not separable, so  $\text{Ker } \pi$  cannot be  $\mu_p$  (because its dual  $\mathbb{Z}/p\mathbb{Z}$  would be a subscheme of  $E[p]$ ) which implies that  $\text{Ker } \pi = \alpha_p$  ( $\alpha_p$  is self-dual).

## Tate modules

- The  $\ell$ -adic numbers  $\mathbb{Z}_\ell = \varprojlim \mathbb{Z}/\ell^n \mathbb{Z}$  are a way to handle all the residue rings  $\mathbb{Z}/\ell^n \mathbb{Z}$  at once,  $\widehat{\mathbb{Z}} = \varprojlim \mathbb{Z}/n\mathbb{Z} = \prod_\ell \mathbb{Z}_\ell$ .
- Likewise the Tate modules are a way to encode the ( $\ell$ -primary) torsion subgroup:

$$T_\ell(E) = \varprojlim E[\ell^n](\bar{k})$$

$$T(E) = \varprojlim E[n](\bar{k})$$

- $E[n](\bar{k}) \simeq T(E)/nT(E)$ ;
- $T_\ell(E) = \mathbb{Z}_\ell^2$  if  $p \nmid \ell$ ;
- If  $E$  is ordinary  $T_p(E) = \mathbb{Z}_p$ , and  $T(E) = \widehat{\mathbb{Z}} \times \widehat{\mathbb{Z}}'$  (where  $\widehat{\mathbb{Z}}' = \varprojlim_{p \nmid n} \mathbb{Z}/n\mathbb{Z}$ ) and  $E(\bar{k})_{\text{tors}} = \mathbb{Q}/\mathbb{Z} \oplus \mathbb{Z}_{(p)}/\mathbb{Z}$ ;
- If  $E$  is supersingular  $T_p(E) = 0$  and  $T(E) = \widehat{\mathbb{Z}}' \times \widehat{\mathbb{Z}}'$  and  $E(\bar{k})_{\text{tors}} = \mathbb{Z}_{(p)}/\mathbb{Z} \oplus \mathbb{Z}_{(p)}/\mathbb{Z}$ .

## The group of rational points over a finite field

- If  $k = \mathbb{F}_q$  then  $E(k)$  is finite;
- In fact (Exercise):

$$E(k) = \mathbb{Z}/a\mathbb{Z} \oplus \mathbb{Z}/b\mathbb{Z} \quad \text{with } a \mid b.$$

- We will study how  $a$ , and  $b$  vary under isogenies and fields extensions.

## The Weil pairing over $\mathbb{C}$

- $E = \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$ ;
- The function

$$\begin{aligned} e_n: E[n] \times E[n] &\longrightarrow \mu_n \\ (P, Q) &\longmapsto e^{2\pi i n(x_P y_Q - x_Q y_P)} \end{aligned}$$

where  $P = x_P + \tau y_P$  and  $Q = x_Q + \tau y_Q$  is bilinear and non degenerate;

- The value does not depend on the choice of basis for the lattice

$\Lambda = \mathbb{Z} + \tau\mathbb{Z}$ : let  $J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ , then if  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{Sl}_2(\mathbb{Z})$ ,

$$\begin{aligned} \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x_P \\ y_P \end{pmatrix} \right)^T J \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x_Q \\ y_Q \end{pmatrix} &= \begin{pmatrix} x_P \\ y_P \end{pmatrix}^T \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix}^t J \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) \begin{pmatrix} x_Q \\ y_Q \end{pmatrix} = \\ &= \begin{pmatrix} x_P \\ y_P \end{pmatrix}^T J \begin{pmatrix} x_Q \\ y_Q \end{pmatrix} = x_P y_Q - x_Q y_P \end{aligned}$$

## Divisors

- Let  $C$  be a projective smooth and geometrically connected curve;
- A divisor  $D$  is a formal finite sum of points on  $C$ :  
 $D = n_1[P_1] + n_2[P_2] + \cdots + n_e[P_e]$ . The degree  $\deg D = \sum n_i$ .
- If  $f \in k(C)$  is a rational function, then

$$\operatorname{Div} f = \sum_P \operatorname{ord}_P(f)[P]$$

$((O_C)_P$  the stalk of functions defined around  $P$  is a discrete valuation ring since  $C$  is smooth and  $\operatorname{ord}_P(f)$  is the corresponding valuation of  $f$  at  $P$ ).

### Example

If  $C = \mathbb{P}_k^1$  then  $\operatorname{Div} \frac{\prod (X - \alpha_i)^{e_i}}{\prod (X - \beta_i)^{f_i}} = \sum e_i[\alpha_i] - \sum f_i[\beta_i] + (\sum \beta_i - \sum \alpha_i)\infty$ . In particular  $\deg \operatorname{Div} f = 0$  and conversely any degree 0 divisor comes from a rational function.



## Linear equivalence class of divisors

- For a general curve, if  $f \in k(C)$ ,  $\text{Div}(f)$  is of degree 0 but not any degree 0 divisor  $D$  comes from a function  $f$ ;
- A divisor which comes from a rational function is called a principal divisor. Two divisors  $D_1$  and  $D_2$  are said to be linearly equivalent if they differ by a principal divisor:  $D_1 = D_2 + \text{Div}(f)$ .
- $\text{Pic } C = \text{Div}^0 C / \text{Principal Divisors}$
- A principal divisor  $D$  determines  $f$  such that  $D = \text{Div } f$  up to a multiplicative constant (since the only globally regular functions are the constants).

## Divisors on elliptic curves

### Theorem

Let  $D = \sum n_i [P_i]$  be a divisor of degree 0 on an elliptic curve  $E$ . Then  $D$  is the divisor of a function  $f \in \bar{k}(E)$  (ie  $D$  is a principal divisor) if and only if  $\sum n_i P_i = 0_E \in E(\bar{k})$  (where the last sum is not formal but comes from the addition on the elliptic curve).

In particular  $P \in E(\bar{k}) \rightarrow [P] - [0_E] \in \text{Jac}(E)$  is a group isomorphism between the points in  $E$  and the linear equivalence classes of divisors;

### Proof.

- We will give an algorithm (Miller's algorithm) which starts from a divisor  $D = \sum n_i [P_i]$  of degree 0 and constructs a rational function  $f$  such that  $D$  is linearly equivalent to  $[\sum n_i P_i] - [0_E]$ . If  $\sum n_i P_i = 0_E$  then  $D$  is principal.
- Conversely we have to show that if  $P = \sum n_i P_i \neq 0_E$  then  $[P] - [0_E]$  is not principal. But if we had a function  $f$  such that  $\text{Div}(f) = [P] - [0_E]$ , then the morphism  $E \rightarrow \mathbb{P}_k^1: x \mapsto (1 : f(x))$  associated to  $f$  would be birational. But this is absurd:  $E$  is an elliptic curve so it has genus 1, it cannot have genus 0.

## Rational divisors

- A divisor  $D$  over a perfect field is rational if it is stable under the Galois action;
- If  $f \in k(E)$  then  $\text{Div } f$  is a rational divisor, conversely if  $f \in \overline{k}(E)$  and  $\text{Div } f$  is rational then there exists  $\alpha \in \overline{k}^*$  such that  $\alpha f \in k(E)$ ;
- A linear equivalence class of divisors  $[D]$  is rational if it is stable under the Galois action:  $\sigma D \sim D \ \forall \sigma \in \text{Gal}(\overline{k}/k)$ ;
- Over an elliptic curve  $E$ , if  $D \simeq [P] - [0_E]$  then  $D$  is rational if and only if  $P$  is rational;
- Over a curve  $C$  with  $C(k) \neq \emptyset$  then a rational equivalence class of divisors has a representative given by a rational divisor;
- In particular the map  $P \mapsto [P] - [0_E]$  is Galois-equivariant.

## Miller's functions

- Let  $\mu_{P,Q}$  be a function with divisor  $[P] + [Q] - [P + Q] - [0_E]$ ;
- Using the geometric interpretation of the addition law on  $E$  one can construct  $\mu_{P,Q}$  explicitly:
- if  $P = -Q$  then  $\mu_{P,Q} = x - x_P$ ;
- Otherwise let  $l_{P,Q}$  be the line going through  $P$  and  $Q$  (if  $P = Q$  then we take  $l_{P,Q}$  to be the tangent to the elliptic curve at  $P$ ). Then  $\text{Div}(l_{P,Q}) = [P] + [Q] + [-P - Q] - 3[0_E]$ .
- Let  $v_{P,Q}$  be the vertical line going through  $P + Q$  and  $-P - Q$ ;  
 $\text{Div}(v_{P,Q}) = [P + Q] + [-P - Q] - 2[0_E]$ ;
- $\mu_{P,Q} = \frac{l_{P,Q}}{v_{P,Q}}$ ;
- Explicitly if  $E : y^2 = x^3 + ax + b$  is given by a short Weierstrass equation,

$$\mu_{P,Q} = \frac{y - \alpha(x - x_P) - y_P}{x + (x_P + x_Q) - \alpha^2} \quad (1)$$

with  $\alpha = \frac{y_P - y_Q}{x_P - x_Q}$  when  $P \neq Q$  and  $\alpha = \frac{f'(x_P)}{2y_P}$  when  $P = Q$ .

## Miller's algorithm: reducing divisors

- Let  $D = [P] + [Q] + D_0$  be a divisor of degree 0;
- Using  $\mu_{P,Q}$  we get that  $D = \text{Div}(\mu_{P,Q}) + [P + Q] + D_0 + [0_E]$ ;
- We can iterate the reduction until there is only one non zero point in the support:  $D = \text{Div}(g) + [R] - [0_E]$ ;
- $D$  is principal if and only if  $R = 0_E$ , in which case  $g$  is a function (explicitly written in terms of the  $\mu_{P,Q}$ ) with divisor  $D$  (and normalised at  $0_E$ ).

## Miller's algorithm: double and add

- If  $D = n[P] - n[0_E]$  one can combine the reduction above with a double and add algorithm;
- let  $\lambda \in \mathbb{N}$  and  $P \in E(k)$ ; we define  $f_{\lambda,P} \in k(E)$  to be the function normalized at  $0_E$  thus that:

$$\text{Div}(f_{\lambda,P}) = \lambda[P] - [\lambda P] - (\lambda - 1)[0_E].$$

- In particular  $D = \text{Div} f_{n,P} + [nP] - [0_E]$ ;
- If  $\lambda, \nu \in \mathbb{N}$ , we have

$$f_{\lambda+\nu,P} = f_{\lambda,P} f_{\nu,P} \mathbf{f}_{\lambda,\nu,P}$$

where  $\mathbf{f}_{\lambda,\nu,P} := \mu_{\lambda P, \nu P}$  is the function associated to the divisor  $[(\lambda + \nu)P] - [(\lambda)P] - [(\nu)P] + [0_E]$  and normalized at  $0_E$ ;

## Miller's algorithm: example

- Let  $D$  be a general divisor of degree 0. How to apply a double and add algorithm to reduce  $D$ ?
- Write  $D = D_1 + 2D_2 + 4D_4 + \dots$
- Example:  $D = 5[P] + 7[Q] - 12[0_E]$ ;
- Reduce:  $[P] + [Q] - 2[0_E] \sim [P + Q] - [0_E]$ ;
- Double:  $2[P + Q] - 2[0_E] \sim [2P + 2Q] - [0_E]$ ;
- Add:  $[2P + 2Q] + [Q] - 2[0_E] \sim [2P + 3Q] - [0_E]$ ;
- Double:  $2[2P + 3Q] - 2[0_E] \sim [4P + 6Q] - [0_E]$ ;
- Add:  $[4P + 6Q] + [P + Q] - 2[0_E] \sim [5P + 7Q] - [0_E]$ ;

## Evaluating functions on divisors

- If  $f$  is a function with support disjoint from a divisor  $D = \sum n_i [P_i]$ , then one can define

$$f(D) = \prod f(P_i)^{n_i}$$

- If  $D$  is of degree 0, then  $f(D)$  depends only on  $\text{Div } f$ ;
- Miller's algorithm allows, given  $\text{Div } f$  to compute  $f(D)$  efficiently, the data  $\text{Div } f$  can then be seen as a compact way to represent the function  $f$ .
- **Technicality:** during the execution of Miller's algorithm we introduce temporary points in the support of the divisors we evaluate, so we may get a zero or a pole during the evaluation even through  $f$  has support disjoint to  $D$ ;
- One way to proceed is to extend the definition of  $f(P)$  when  $\text{ord}_P(f) = n$  by fixing a uniformiser  $u_P$  (a function with simple zero at  $P$ ), and defining  $f(P)$  to be  $(f/u_P^{\text{ord}_P(f)})(P)$ . Since  $C$  is smooth,  $\widehat{O}_P = k[[u_P]]$ ,  $f \in k((u_P))$  and  $f(P)$  is then the first coefficient in the Laurent expansion of  $f$  along  $u_P$ .
- For an elliptic curve a standard uniformiser at  $0_E$  is  $u = x/y$ ; a function  $f$  is said to be normalised at  $0_E$  if  $f(0_E) = 1$ . This fixes uniquely  $f$  in its equivalence class  $\text{Div } f$ .



## Evaluating functions on divisors: example

### Algorithm (Evaluating $f_{r,P}$ on $Q$ )

**Input:**  $r \in \mathbb{N}$ ,  $P = (x_P, y_P) \in E[r](\mathbb{F}_q)$ ,  $Q = (x_Q, y_Q) \in E(\mathbb{F}_{q^d})$ .

**Output:**  $f_{r,P}(Q)$  where  $\text{Div } f_{r,P} = r[P] - r[0_E]$ .

- ① Compute the binary decomposition:  $r := \sum_{i=0}^l b_i 2^i$ . Let  $T = P$ ,  $f_1 = 1$ ,  $f_2 = 1$ .
- ② For  $i$  in  $[l..0]$  compute
  - ①  $\alpha$ , the slope of the tangent of  $E$  at  $T$ .
  - ②  $f_1 = f_1^2(y_Q - \alpha(x_Q - x_T) - y_T)$ ,  $f_2 = f_2^2(x_Q + 2x_T - \alpha^2)$ .
  - ③  $T = 2T$ .
  - ④ If  $b_i = 1$ , then compute
    - ①  $\alpha$ , the slope of the line going through  $P$  and  $T$ .
    - ②  $f_1 = f_1^2(y_Q - \alpha(x_Q - x_T) - y_T)$ ,  $f_2 = f_2(x_Q + x_P + x_T - \alpha^2)$ .
    - ③  $T = T + P$ .

Return

$$\frac{f_1}{f_2}$$

## The Weil pairing over algebraically closed fields

### Theorem

Let  $E$  be an elliptic curve,  $r$  a number and  $P$  and  $Q$  two points of  $r$ -torsion on  $E$ . Let  $D_P$  be a divisor linearly equivalent to  $[P] - [0_E]$  and  $D_Q$  be a divisor linearly equivalent to  $[Q] - [0_E]$ . Then

$$e_{W,r}(P, Q) = \varepsilon(D_P, D_Q)^r \frac{(rD_P) \cdot (D_Q)}{(rD_Q) \cdot (D_P)} \quad (2)$$

is well defined.

Furthermore the application  $E[r] \times E[r] \rightarrow \mu_r : (P, Q) \mapsto e_{W,r}(P, Q)$  is a pairing, called the Weil pairing. The pairing  $e_{W,r}$  is an alternate pairing, which means that  $e_{W,r}(P, Q) = e_{W,r}(Q, P)^{-1}$ .

### Proof.

An essential ingredient of the proof is Weil's reciprocity theorem: if  $f, g \in K(E)$ , then

$$f(\operatorname{Div}(g)) = \varepsilon(\operatorname{Div} f, \operatorname{Div} g)g(\operatorname{Div}(f)).$$

(Note:  $\varepsilon(\operatorname{Div} f, \operatorname{Div} g) = 1$  if the two divisors have disjoint support.) □

## Weil's pairing in practice

- Recall that  $f_{r,P}$  is the function with divisor  $r[P] - r[0_E]$  (and normalised at  $0_E$ ) constructed via Miller's algorithm;
- Similarly  $f_{r,Q}$  has divisor  $r[Q] - r[0_E]$ ;
- $e_{W,r}(P, Q) = (-1)^r \frac{f_{r,P}(Q)}{f_{r,Q}(P)}$ ;
- If during the execution of Miller's algorithm to evaluate  $f_{r,P}(Q)$  we find a pole or a zero, then we know that  $Q$  is a multiple of  $P$  and that  $e_{W,r}(P, Q) = 1$ .

## Embedding degree

- If  $\mathbb{F}_q$  is a finite field, the embedding degree  $e$  is the smallest integer such that  $\mathbb{F}_{q^e} = \mathbb{F}_q(\mu_r)$ ;
- Alternatively, if  $r = \ell$  is prime, it is the smallest integer such that  $r \mid q^e - 1$ .
- If  $\sigma \in \text{Gal}(\bar{k}/k)$ ,  $e_r(\sigma P, \sigma Q) = \sigma(e(P, Q))$  (by unraveling the definition), so if  $P, Q \in k$  then  $e(P, Q) \in k$ ;
- In particular if  $E[\ell] \subset E(\mathbb{F}_q)$  and  $\ell$  is prime, then  $\ell \mid q - 1$ .
- More generally if  $E[r] \subset E(\mathbb{F}_q)$  then  $\mu_r \subset \mathbb{F}_q$ .

## Application of the Weil pairing

- Extremely useful for cryptography (MOV attack, pairing-based cryptography);
- For cryptography rather use optimised pairings derived from the Tate pairing;
- Application for the group structure:  $P, Q \in E[\ell]$  form a basis of the  $\ell$ -torsion if and only if  $e_{W,\ell}(P, Q) \neq 1$  (Exercise: compare the complexity with the naive method);
- More generally:  $P, Q \in E[r]$  form a basis of the  $r$ -torsion if and only if  $e_{W,r}(P, Q)$  is a primitive  $r$ -root of unity (Exercise: what is the complexity to check this?);

### Remark

If  $P, Q \in E[n]$ ,  $e_{W, nm}(P, Q) = e_{W, n}(P, Q)^m$  so the Weil pairings glue together to give a symplectic structure on the Tate module  $T(E)$ .

# The Tate pairing over a finite field

## Theorem

Let  $E$  be an elliptic curve,  $r$  a prime number,  $P \in E[r](\mathbb{F}_{q^e})$  a point of  $r$ -torsion defined over  $\mathbb{F}_{q^e}$  and  $Q \in E(\mathbb{F}_{q^e})$  a point of the elliptic curve defined over  $\mathbb{F}_{q^e}$ . Let  $D_P$  be a divisor linearly equivalent of  $[P] - [0_E]$  and  $D_Q$  be a divisor linearly equivalent of  $[Q] - [0_E]$ . Then

$$e_{T,r}(P, Q) = ((rD_P) \cdot (D_Q))^{\frac{q^e-1}{r}} \quad (3)$$

is well defined and does not depend on the choice of  $D_P$  and  $D_Q$ .

Furthermore the application  $E[r](\mathbb{F}_{q^e}) \times E(\mathbb{F}_{q^e})/rE(\mathbb{F}_{q^e}) \rightarrow \mu_r : (P, Q) \mapsto e_{T,r}(P, Q)$  is a pairing, called the Tate pairing.

## Tate's pairing in practice

- Recall that  $f_{r,P}$  is the function with divisor  $r[P] - r[0_E]$  (and normalised at  $0_E$ ) constructed via Miller's algorithm;
- $e_{T,r}(P, Q) = f_{r,P}(Q)^{\frac{q^e-1}{r}}$ ;
- If during the execution of Tate's algorithm to evaluate  $f_{r,P}(Q)$  we find a pole or a zero, then we use  $D_Q = [Q + R] - [R]$  instead (for  $R$  a random point in  $E(\mathbb{F}_{q^e})$ ) and evaluate

$$e_{T,r}(P, Q) = \left( \frac{f_{r,P}(Q + R)}{f_{r,P}(R)} \right)^{\frac{q^e-1}{r}} ;$$

- If  $R \in E(\mathbb{F}_q)$  and  $e > 1$  we have

$$e_{T,r}(P, Q) = f_{r,P}(Q + R)^{\frac{q^e-1}{r}} .$$

## Tate pairing and the Frobenius

- The Weil pairing, Tate pairing and the Frobenius are related;
- Let  $P \in E[r](\mathbb{F}_{q^e})$  and  $Q \in E(\mathbb{F}_{q^e})$ . Let  $Q_0 \in E[r](\bar{k})$  be any point such that  $rQ_0 = Q$ ;
- $\pi^e Q_0 - Q_0 \in E[r]$  (Exercice)



$$e_{T,r}(P, Q) = e_{W,r}(P, (\pi^e - 1)Q_0)$$

- If  $Q' = Q + rR$  with  $R \in E(\mathbb{F}_{q^e})$  then one can choose  $Q'_0 = Q_0 + R$  so that  $(\pi^e - 1)(Q_0) = (\pi^e - 1)(Q'_0)$ ;
- So the value of  $e_{T,r}(P, Q)$  depends only on the class of  $Q \in E(\mathbb{F}_{q^e})/rE(\mathbb{F}_{q^e})$ .



## Proof

- The link between the Weil and Tate pairing comes from Weil's reciprocity;
- If  $E[r] \subset E(\mathbb{F}_{q^e})$ , then  $(\pi^e - 1)E[r] = 0$  so  $\frac{\pi^e - 1}{r}$  is an endomorphism;
- Since the Weil pairing is non degenerate, to show that the Tate pairing is non degenerate we just need to show that  $\frac{\pi^k - 1}{r} : E(\mathbb{F}_{q^e}) \rightarrow E[r]$  is surjective;
- The kernel of  $\frac{\pi^k - 1}{r}$  restricted to  $E(\mathbb{F}_{q^e})$  is  $rE(\mathbb{F}_{q^e})$ , so the image is isomorphic to  $E(\mathbb{F}_{q^e})/rE(\mathbb{F}_{q^e})$ ;
- $E(\mathbb{F}_{q^e}) = \mathbb{Z}/a\mathbb{Z} \oplus \mathbb{Z}/b\mathbb{Z}$  with  $a \mid b$ , and since  $E(\mathbb{F}_{q^e}) \supset E[r]$ , we know that  $r \mid a$  and  $r \mid b$ ;
- We deduce that  $E(\mathbb{F}_{q^e})/rE(\mathbb{F}_{q^e})$  is isomorphic to  $\mathbb{Z}/r\mathbb{Z} \oplus \mathbb{Z}/r\mathbb{Z}$ , in particular it has cardinal  $r^2$  so the application is indeed surjective;
- The general case comes from Galois cohomology applied to the exact sequence  $0 \rightarrow E[r] \rightarrow E(\bar{k}) \rightarrow E(\bar{k}) \rightarrow 0$ .

## Field of definition of the $r$ -roots of unity

- By the CRT, we may assume that  $r = \ell^n$ ;
- $\mu_{\ell^n}$  lives in  $\mathbb{F}_{q^e}$  whenever  $v_\ell(q^e - 1) \geq n$ ;
- If  $\mu_\ell \notin \mathbb{F}_q$  then  $\mathbb{F}_q(\mu_\ell) = \mathbb{F}_{q^e}$  with  $e \mid \ell - 1$ ;
- If  $\mu_\ell \in \mathbb{F}_q$ , then  $v_\ell(q^e - 1) = v_\ell(q - 1)$  unless  $\ell \mid e$ ;
- If  $\mu_\ell \in \mathbb{F}_q$ ,  $v_\ell(q^\ell - 1) = v_\ell(q - 1) + 1$  (except possibly when  $\ell = 2$  and  $v_\ell(q - 1) = 1$  where  $v_\ell(q^\ell - 1)$  can increase by more than 1);
- (Hint: write  $q^e - 1 = (q - 1)(1 + q + q^2 + \dots + q^{e-1}) = (q - 1)(q - 1 + q^2 - 1 + \dots + q^{e-1} - 1 + e)$ ).

## Endomorphisms and isogenies

- An isogeny is a non constant rational application  $\varphi : E_1 \rightarrow E_2$  between two elliptic curves  $E_1$  and  $E_2$  that commutes with the addition law;
- A rational application  $\varphi$  is an isogeny if and only if  $\varphi(0_{E_1}) = 0_{E_2}$  (and  $\varphi \neq 0$ );
- An isogeny is surjective on the  $\bar{k}$ -points and has finite kernel;
- The degree of  $\varphi$  is  $[k(E_2) : \varphi^*k(E_1)]$ ;
- An isogeny  $\varphi : E_1 \rightarrow E_2$  admits a dual  $\widehat{\varphi} : E_2 \rightarrow E_1$  such that  $\varphi \circ \widehat{\varphi} = [\deg \varphi]$  and  $\widehat{\varphi} \circ \varphi = [\deg \varphi]$ ;
- We write  $E_1[\varphi] = \text{Ker } \varphi$ ;  $\deg \varphi = \deg E_1[\varphi]$  (as a scheme),  $\text{Ker } \varphi$  determines  $\varphi$  (up to automorphisms);
- If  $\varphi$  is separable (for instance if  $p \nmid \deg \varphi$ ) then  $E_1[\varphi] = \{P \in E_1(\bar{k}) \mid \varphi P = 0_{E_2}\}$  so  $\deg \varphi = \#E_1[\varphi](\bar{k})$ ;
- Conversely a finite subscheme group  $K$  determines an isogeny  $E \rightarrow E/K$  of degree  $\deg K$ ;
- Over an elliptic curve, every isogeny is (up to isomorphisms) the composition of a separable isogeny and a power of the small Frobenius  $\pi_p$ .
- An endomorphism  $\varphi \in \text{End}(E)$  is an isogeny from  $E$  to  $E$ .

## Endomorphism and isogenies over $\mathbb{C}$

- Let  $E_1 = \mathbb{C}/\Lambda_1$  and  $E_2 = \mathbb{C}/\Lambda_2$ ;
- An isogeny comes from a linear map  $z \mapsto \alpha z$  where  $\alpha\Lambda_1 \subset \Lambda_2$ ;
- The kernel is  $\alpha^{-1}\Lambda_2/\Lambda_1$ ;
- If  $E = \mathbb{C}/\Lambda$  an endomorphism comes from a linear map  $z \mapsto \alpha z$  where  $\alpha\Lambda \subset \Lambda$ ;
- Write  $\Lambda = \mathbb{Z} \oplus \tau\mathbb{Z}$ , we get that if  $\alpha \notin \mathbb{Z}$  then  $\tau$  satisfy a quadratic equation and  $\alpha \in \mathbb{Z}[\tau]$ ;
- $\mathbb{Q}(\tau)$  is then a quadratic imaginary field and  $\text{End}(E)$  an order (because it stabilizes a lattice).

## Field of definition of endomorphisms

- Let  $E/k$  be an elliptic curve ( $k$  perfect);
- It may happen that endomorphisms of  $E$  are defined over a larger field than  $k$  (Exercice: but there are always defined over a finite extension of  $k$ );
- We let  $\text{End}(E) = \text{End}_{\bar{k}}(E)$  and  $\text{End}_k(E)$  the subring of rational endomorphisms;
- $\varphi \in \text{End}(E)$  is defined over  $k$  if and only if it is stable under  $\text{Gal}(\bar{k}/k)$ ;
- In particular if  $k = \mathbb{F}_q$  and  $\pi$  is the Frobenius, then  $\text{End}_k(E)$  is the commutant of  $\pi$  in  $\text{End}(E)$ .
- If  $l/k$  is an extension of field, then  $\text{End}_l(E)/\text{End}_k(E)$  is torsion free (Exercice: if  $m\varphi$  is rational, then so is  $\varphi$ ).

### Remark

If  $k$  is not perfect and  $l/k$  is a purely inseparable extension of  $k$  then  $\text{End}_l(E) = \text{End}_k(E)$ .

## Characteristic polynomial

Let  $\varphi \in \text{End}_k(E)$ , the characteristic polynomial  $\chi_\varphi \in \mathbb{Z}[X]$  is defined as

- The characteristic polynomial of  $\varphi$  on  $T_\ell(E)$  ( $\ell \neq p$ );
- The only polynomial such that  $\deg(\varphi - n \text{Id}) = \chi_\varphi(n) \quad \forall n \in \mathbb{Z}$ ;
- If  $\text{End}_k(E)$  is quadratic, as the characteristic polynomial of  $\varphi$  in  $\text{End}(E)$ ;
- If  $\varphi \notin \mathbb{Z}$ , as the characteristic polynomial of  $\varphi$  in  $\mathbb{Q}(\varphi)$ ;
- If  $\varphi \in \mathbb{Z}$ , as  $X^2 - 2\varphi X + \varphi^2$ ;
- Let  $\text{Tr}(\varphi) = \varphi + \hat{\varphi} \in \mathbb{Z}$  and  $N(\varphi) = \varphi \hat{\varphi} = \deg \varphi \in \mathbb{Z}$ ;

$$\chi_\varphi = X^2 - \text{Tr}(\varphi)X + N(\varphi);$$

### Corollary

If  $p \nmid n$ , the characteristic polynomial of  $\varphi$  acting on  $E[n]$  is  $\chi_\varphi \pmod n$ .

### Remark

If  $\varphi \in \text{End}_k(E)$ ,  $\hat{\varphi} = \overline{\varphi}$ .

## Characteristic polynomial of the Frobenius ( $k = \mathbb{F}_q$ )

- $\chi_\pi = X^2 - tX + q$ ;
- The roots of  $\chi_\pi$  in  $\mathbb{C}$  have absolute value  $|\sqrt{q}|$  so  $|t| \leq 2\sqrt{q}$  (Hasse);
- $\#E(\mathbb{F}_q) = \deg(\pi - 1) = \chi_\pi(1)$ ;

•

$$\zeta_E = \exp\left(\sum_{n=1}^{\infty} \#E(\mathbb{F}_{q^n}) \frac{T^n}{n}\right) = \frac{1 - tT + qT^2}{(1 - qT)(1 - T)};$$

- $\chi_{\pi^n} = \text{Res}_X(\chi_\pi(Y), Y^n - X)$ ;

### Theorem (Tate)

*Two elliptic curves over  $\mathbb{F}_q$  are isogenous if and only if they have the same cardinal, if and only if they have the same characteristic polynomial of the Frobenius.*

## Action of the Frobenius on $E[\ell]$

- Let  $\Delta_\pi = t^2 - 4q$ ;
- If  $\Delta_\pi = 0 \pmod{\ell}$  then either  $\pi = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix}$  on  $E[\ell]$  (and all  $\ell$ -isogenies are rational) or  $\pi = \begin{pmatrix} \lambda & 1 \\ 0 & \lambda \end{pmatrix}$  (and there is one rational  $\ell$ -isogeny);
- If  $\left(\frac{\Delta_\pi}{\ell}\right) = 1$  then  $\pi = \begin{pmatrix} \lambda & 0 \\ 0 & \mu \end{pmatrix}$  on  $E[\ell]$  with  $\lambda \neq \mu \in \mathbb{F}_\ell$ ,  $\lambda\mu = q$  (and there are two rational  $\ell$ -isogenies);
- If  $\left(\frac{\Delta_\pi}{\ell}\right) = -1$  then  $\pi = \begin{pmatrix} \lambda & 0 \\ 0 & \mu \end{pmatrix}$  on  $E[\ell]$  with  $\lambda \neq \mu \in \mathbb{F}_{\ell^2}$ ,  $\lambda\mu = q$  (and there are no rational  $\ell$ -isogenies).

### Corollary

If  $\ell \parallel \#E(\mathbb{F}_q)$  then

- If the embedding degree  $e > 1$  then  $\pi = \begin{pmatrix} 1 & 0 \\ 0 & q \end{pmatrix}$  and  $E[\ell] \subset E(\mathbb{F}_{q^e})$ ;
- Otherwise  $\pi = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $E[\ell] \subset E(\mathbb{F}_{q^\ell})$ .



## Isogenies and Tate modules

- Let  $\ell \neq p$  then  $\text{Hom}(E_1, E_2) \otimes \mathbb{Z}_\ell \rightarrow \text{Hom}(T_\ell E_1, T_\ell E_2)$  is injective [Sil86][Theorem III.7.4] (Exercise: show that  $\text{Hom}(E_1, E_2) \rightarrow \text{Hom}(T_\ell E_1, T_\ell E_2)$  is injective);
- In particular  $\text{End}(E)$  has rank at most 4;

### Theorem (Tate, Faltings)

If  $k$  is a finite field or a number field, then

$$\text{Hom}_k(E_1, E_2) \otimes \mathbb{Z}_\ell \simeq \text{Hom}_{\mathbb{Z}_\ell(\text{Gal}(\bar{k}/k))}(T_\ell E_1, T_\ell E_2)$$

### Remark

Tate's theorem remain valid for  $\ell = p$  when considering the Tate module coming from the duality of  $p$ -divisible group schemes.

## Endomorphism rings and endomorphism fields

$\text{End}_k(E)$  is either

- $\mathbb{Z}$ ;
- An order in a quadratic imaginary field;
- A maximal order in the definite quaternion algebra ramified at  $p$  and  $\infty$ .

### Remark

If  $E$  is an elliptic curve over a finite field  $\mathbb{F}_q$ , then

- If  $E$  is ordinary then  $\text{End}(E)$  is an order in a quadratic imaginary field;
- If  $E$  is supersingular then  $\text{End}(E)$  is a maximal order in the definite quaternion algebra ramified at  $p$  and  $\infty$ .

### Exercise

- In characteristic 0,  $\text{End}_k(E)$  is commutative;
- In characteristic  $p$ ,  $\text{End}_k(E) = \mathbb{Z}$  if and only if  $j(E)$  is transcendental.

$\text{End}_k^0(E)$ 

We follow <https://rigtriv.wordpress.com/2009/05/14/endomorphisms-of-elliptic-curves-and-the-tate-module/>

## Lemma

$\text{Hom}(E_1, E_2)$  is torsion free.

## Proof.

The degree is multiplicative, so if  $[m] \circ f = 0$  then  $m = 0$  or  $f = 0$ .  $\square$

## Lemma

$\text{End}_k(E)$  has no zero divisors, so  $\text{End}_k^0(E) = \text{End}_k(E) \otimes_{\mathbb{Z}} \mathbb{Q}$  is a division algebra

# Proof

(We assume here that  $p > 2$ )

- If  $\text{End}_k(E)$  has rank 1 then it is  $\mathbb{Z}$  (the maximal order of  $\mathbb{Q}$ );
- Let  $\varphi \in \text{End}_k(E) \setminus \mathbb{Z}$ , by translating by an integer we can assume that  $\text{Tr } \varphi = 0$ , and since  $N(\varphi) = \deg \varphi > 0$  we get that  $\mathbb{Z} + \mathbb{Z}\varphi$  is an order in a quadratic imaginary field. If the rank of  $\text{End}_k(E) = 2$  then  $\text{End}_k(E)$  is an order containing  $\mathbb{Z} + \mathbb{Z}\varphi$ .
- Otherwise  $\psi \mapsto \varphi\psi\varphi^{-1}$  is a linear map of order 2. If  $\psi$  is in the  $-1$ -eigenspace (Exercise: why does such a  $\psi$  exists?) then  $(1, \varphi, \psi, \varphi\psi)$  forms a basis of  $\text{End}_k(E)$ . Thus  $\text{End}_k^0(E)$  is a quaternion algebra and  $\text{End}_k(E)$  an order in the quaternion algebra.
- Over  $\ell \neq p$  we get that  $\text{End}_k E \otimes \mathbb{Z}_\ell \subset \text{End}(T_\ell E) = M_2(\mathbb{Z}_\ell)$  so  $\text{End}_k^0 E$  is split at  $\ell$ ;
- So either  $\text{End}_k^0 E = M_2(\mathbb{Q})$  or the definite quaternion algebra ramified at  $p$  and  $\infty$ . But  $M_2(\mathbb{Q})$  has zero divisors so it cannot be  $\text{End}_k(E)$ .

## Endomorphism rings over $\mathbb{F}_q$

- Let  $E/\mathbb{F}_q$  be an elliptic curve,  $\pi$  the Frobenius and  $\chi_\pi = X^2 - tX + q$ ;
- $E$  is supersingular if and only if  $t$  is not prime to  $p$ , if and only if a power of  $\pi$  is an integer, if and only if  $\text{End}^0(E)$  is a quaternion algebra if and only if the isogeny class (up to isomorphism) over  $\bar{k}$  is finite.
- Either  $\chi_\pi$  is irreducible or  $\chi_\pi = X^2 - 2\pm\sqrt{q}X + q = (X \mp \sqrt{q})^2$  and  $\pi = \pm\sqrt{q} \in \mathbb{Z}$ . If  $\chi_\pi$  is irreducible then  $\text{End}_k^0 = \mathbb{Q}(\pi) = \mathbb{Q}(\sqrt{t^2 - 4q})$  is quadratic imaginary, otherwise  $\text{End}_k^0$  is the definite quaternion algebra ramified at  $p$  and  $\infty$ ;
- If  $E$  is ordinary over  $\mathbb{F}_q$ , then  $\text{End}_k(E) = \text{End}(E)$  is an order in  $\mathbb{Q}(\pi)$  containing  $\mathbb{Z}[\pi]$ ,  $\mathbb{Z}[\pi]$  is maximal at  $p$  and  $p$  splits.
- If  $E$  is supersingular, then  $\text{End}_k^0(E)$  is a quaternion algebra if and only if  $\pi \in \mathbb{Z}$ , and  $\text{End}_k(E) = \text{End}(E)$  is then a maximal order. Otherwise  $\text{End}_k(E)$  is a quadratic order in  $\mathbb{Q}(\pi)$  and is maximal at  $p$  (even though  $\mathbb{Z}[\pi]$  may not be maximal at  $p$ ).

## Proof (partial)

- If  $E$  is supersingular then  $\pi_p^2 E \simeq E$ . In particular  $j_E \in \mathbb{F}_{p^2}$  and  $\pi_p^2 = [p] \circ \zeta$  where  $\zeta$  is an automorphism.  $\zeta$  is then a root of unity in  $\text{End}(E)$  so a power of  $\pi$  is an integer. Reciprocally if  $\pi^n \in \mathbb{Z}$  then  $p \mid \pi^n$  is inseparable so  $E$  is supersingular.
- $t$  is not prime to  $p \iff$  a power of  $\pi$  is an integer (Not trivial exercise, see [Wat69][Chapter 4]);
- $\pi^n \in \mathbb{Z} \iff \text{End}_{\mathbb{F}_{q^n}}^0(E)$  is a quaternion algebra (by Tate's theorem);
- If  $\text{End}^0(E) = \mathbb{Q}(\pi)$  is a quadratic field, then the isogeny class is infinite (Exercise: look at isogenies  $E \rightarrow E_i$  of degree a prime  $\ell_i$  inert in  $O_K$  and prove that the  $E_i$  are non isomorphic). Conversely all supersingular elliptic curves are defined over  $\mathbb{F}_{p^2}$  so the isogeny class is finite.

## Reduction and lifting

- Let  $O$  be an order in a imaginary quadratic field  $K$ . Then there are  $h_O$  (the class number of  $O$ ) elliptic curves over  $\overline{\mathbb{Q}}$  with endomorphism ring  $O$ . They are defined over the ray class field  $H_O$  of  $O$ .
- If  $p \nmid \Delta_O$ ,  $p$  is a prime of good reduction. Let  $\mathfrak{p}$  be a prime above  $p$  in  $H_O$ . If  $p$  is inert in  $K$ ,  $E_{\mathfrak{p}}$  is supersingular. If  $p$  splits,  $E_{\mathfrak{p}}$  is ordinary, and its endomorphism ring is the minimal order containing  $O$  of index prime to  $p$ .
- Reciprocally, if  $E/\mathbb{F}_q$  is an ordinary elliptic curve, the couple  $(E, \text{End}(E))$  can be lifted over  $\mathbb{Q}_q$ .

### Corollary

- *If  $E/\mathbb{F}_q$  is an ordinary elliptic curve, then  $\text{End}(E)$  is an order in  $K = \mathbb{Q}(\pi)$  of conductor prime to  $p$ . For every order  $O$  of  $K$  such that  $\mathbb{Z}[\pi] \subset O$ , there exist an isogenous curve whose endomorphism ring is  $O$ .*
- *Reciprocally, for every order  $O$  of discriminant a non zero square modulo  $p$ , let  $n$  be the order of one of the prime above  $p$  in the class group of  $O$ . Then there exist an (ordinary) elliptic curve  $E'$  over  $\mathbb{F}_{q^n}$  with  $\text{End}(E') = O$ .*

## Automorphisms and twist

- The automorphisms of  $E$  are the invertible elements in  $O = \text{End}_k E$ .
- All invertible elements are roots of unity.
- We usually have  $O^* = \{\pm 1\}$  except in the following exceptions:
  - 1  $j_E = 1728$  ( $p \neq 2, 3$ ), in this case  $O$  is the maximal order in  $\mathbb{Q}(i)$  and  $\#O^* = 4$ ;
  - 2  $j_E = 0$  ( $p \neq 2, 3$ ), in this case  $O$  is the maximal order in  $\mathbb{Q}(i\sqrt{3})$  and  $\#O^* = 6$ ;
  - 3  $j_E = 0$  ( $p = 3$ ), in this case  $E$  is supersingular and  $\#O^* = 12$ ;
  - 4  $j_E = 0$  ( $p = 2$ ), in this case  $E$  is supersingular and  $\#O^* = 24$ .
- The Frobenius  $\pi \in K$  characterizes the isogeny class of  $E$  (Tate). A twisted isogeny class will correspond to a Frobenius  $\pi' \neq \pi$ , where there exist  $n$  with  $\pi^n = \pi'^n$ . This gives a bijection between the twisted isogeny class and the roots of unity in  $K$ .
- More generally, there is a bijection between  $O^*$  and the twists of  $E$ .

### Remark

If  $E_1$  is isogenous to  $E_2$  over  $k$  and  $k \subset l$ ,  $\text{Hom}_k(E_1, E_2) = \text{Hom}_l(E_1, E_2)$  when  $\text{End}_k(E_1) = \text{End}_l(E_2)$ . In particular a twist to  $E$  is never isogenous to  $E$  over  $k$  if  $E$  is ordinary.



## Isogeny class of elliptic curves over $\mathbb{F}_q$

Let  $q = p^n$ . The isogeny classes of elliptic curves are given by the value of the trace  $t$  by Tate's theorem. The possible value of  $t$  are:

- $t$  prime to  $p$ , in this case the isogeny class is ordinary.
- The other cases give supersingular elliptic curves. The endomorphism fraction ring  $\text{End}_k^0(\mathcal{E})$  of the isogeny class is either a quaternion algebra of rank 4, or an imaginary quadratic field. In the latter case, it will become maximal after an extension of degree  $d$ , with:
  - ① If  $n$  is even:
    - $t = \pm 2\sqrt{q}$ , this is the only case where  $\text{End}_k^0(\mathcal{E})$  is a quaternion algebra.
    - $t = \pm\sqrt{q}$  when  $p \not\equiv 1 \pmod{3}$ , here  $d = 3$ .
    - $t = 0$  when  $p \not\equiv 1 \pmod{4}$ , here  $d = 2$ .
  - ② If  $n$  is odd:
    - $t = 0$ , here  $d = 2$ .
    - $t = \pm\sqrt{2q}$  when  $p = 2$ , here  $d = 4$ .
    - $t = \pm\sqrt{3q}$  when  $p = 3$ , here  $d = 6$ .

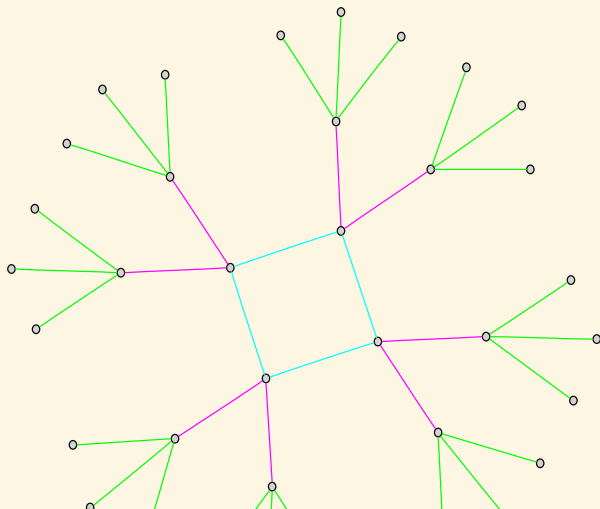
### Remark

Any two supersingular elliptic curves become isogenous after a quadratic extension of degree  $2d$  (with  $d$  the degree where their endomorphism ring become maximal). But a new maximal class and up to 3 commutative classes appear in this extension.

## Isogeny graph and endomorphisms of ordinary elliptic curves

The  $\ell$ -isogeny graph looks like a volcano [Koh96; FM02]:

Let  $f_E$  be the conductor of  $\text{End}(E) \subset O_K$ . At each level  $v_{\ell}(f_E)$  increase by one. At the crater  $v_{\ell}(f_E) = 0$  and at the bottom  $v_{\ell}(f_E) = v_{\ell}(f) = v_{\pi}$  where  $f$  is the conductor of  $\mathbb{Z}[\pi] \subset O_K$ .



## The $\alpha$ -torsion as an $\text{End}_k(E)$ module

### Theorem ([Len96])

- If  $\text{End}_k(E)$  is commutative, let  $\alpha \in \text{End}_k(E)$  be a separable endomorphism. We have an isomorphism of  $\text{End}_k(E)$ -modules:

$$E[\alpha] \simeq \text{End}_k(E)/\alpha \text{End}_k(E).$$

- If  $\text{End}_k(E)$  is non commutative (ie  $\pi \in \mathbb{Z}$ ), let  $n \in \mathbb{Z}$ . We have an isomorphism of  $\text{End}_k(E)$ -modules:

$$E[n] \oplus E[n] \simeq \text{End}_k(E)/n \text{End}_k(E).$$

### Outline of the proof in the commutative case.

$\text{End}_k(E)$  is a quadratic order so it is a Gorenstein ring.  $E[\alpha]$  is faithful over  $\text{End}_k(E)/\alpha \text{End}_k(E)$ , which is a finite Gorenstein ring. So  $E[\alpha]$  contains a free  $\text{End}_k(E)/\alpha \text{End}_k(E)$  module of rank 1, but  $\#E[\alpha] = \#\text{End}_k(E)/\alpha \text{End}_k(E) = \text{deg } \alpha$  so  $E[\alpha]$  is free of rank 1 over  $\text{End}_k(E)/\alpha \text{End}_k(E)$ .  $\square$

## The structure of the rational points

### Theorem (Lenstra)

Let  $E/\mathbb{F}_q$  be an ordinary elliptic curve (or suppose that  $\pi \notin \mathbb{Z}$ ). We have as  $\text{End}_{\mathbb{F}_q}(E)$ -modules:

$$E(\mathbb{F}_{q^n}) \simeq \frac{\text{End}_{\mathbb{F}_q}(E)}{\pi^n - 1}$$

- Let  $\Delta_\pi = t^2 - 4q$  and  $\Delta$  the discriminant of  $\mathbb{Q}(\sqrt{\Delta_\pi})$ . We have  $\Delta_\pi = \Delta f^2$  where  $f$  is the conductor of  $\mathbb{Z}[\pi] \subset O_K$ .
- In practice if  $\Delta_\pi = d f_0^2$ , then  $\Delta = d, f = f_0$  if  $d \equiv 1 \pmod{4}$  or  $\Delta = 4d, f = f_0/2$  otherwise;
- Let  $\omega = \frac{1+\sqrt{d}}{2}$  if  $d \equiv 1 \pmod{4}$  and  $\omega = \sqrt{d}$  otherwise.
- $O_K = \mathbb{Z} \oplus \mathbb{Z}\omega = \mathbb{Z}[\frac{\Delta + \sqrt{\Delta}}{2}]$ ;
- $\pi = a + f\omega$  with  $a = \frac{t-f}{2}$  if  $d \equiv 1 \pmod{4}$  and  $a = \frac{t}{2}$  otherwise;
- Let  $f_E$  be the conductor of  $\text{End}(E) \subset O_K$ ,  $f_E \mid f$  since  $\mathbb{Z}[\pi] \subset \text{End}(E)$ ,  $f = f_E \gamma$  where  $\gamma_E = [\text{End}(E) : \mathbb{Z}[\pi]]$ ;
- $E(\mathbb{F}_q) = \mathbb{Z}/n_1\mathbb{Z} \oplus \mathbb{Z}/n_2\mathbb{Z}$  where  $n_1 \mid n_2$ ,  $n_1 = \gcd(a-1, \gamma_E)$  and  $N = n_1 n_2 = \#E(\mathbb{F}_q)$ .

## Torsion and conductor of the order

### Lemma ([MMS+06])

Let  $N = n_1 n_2 = \#E(\mathbb{F}_q)$ ,  $\pi = a + f\omega$ ,  $n_1 = \gcd(a-1, \gamma_E)$ .

$$v_\ell(a-1) \geq \min(v_\ell(f), v_\ell(N)/2).$$

### Proof.

$$N = \chi_\pi(1) = (1-\pi)(1-\bar{\pi}).$$

If  $d \not\equiv 1 \pmod{4}$ , from  $\pi = a + f\omega$  we get

$$N = (a-1)^2 - df^2$$

so  $2v_\ell(a-1) \geq \min(2v_\ell(f), v_\ell(N))$ .

If  $d \equiv 1 \pmod{4}$ , then  $(t-2)^2 = f^2 + 4N$  so  $4(a-1)^2 = 4N + f^2(d-1) - 4f(a-1)$ , and taking valuations yield the Lemma too.  $\square$

### Corollary

- If  $v_\ell(n_1) < v_\ell(N)/2$  then  $v_\ell(\gamma_E) = v_\ell(n_1)$ ;
- If  $v_\ell(n_1) = v_\ell(N)/2$  then  $v_\ell(\gamma_E) \geq v_\ell(N)/2$ .

## The structure of the $\ell^\infty$ -torsion in the volcano

- If  $E$  is on the floor,  $E[\ell^\infty](\mathbb{F}_q)$  is cyclic:  $E[\ell^\infty](\mathbb{F}_q) = \mathbb{Z}/\ell^m\mathbb{Z}$ , with  $m = v_\ell(N)$  (possibly  $m = 0$ ).
- If  $E$  is on level  $\alpha < m/2$  above the floor, then  $E[\ell^\infty](\mathbb{F}_q) = \mathbb{Z}/\ell^\alpha \oplus \mathbb{Z}/\ell^{m-\alpha}$ .
- If  $v \geq m/2$  then  $m$  is even and when  $E$  is on level  $\alpha \geq m/2$ ,  $E[\ell^\infty](\mathbb{F}_q) = \mathbb{Z}/\ell^{m/2} \oplus \mathbb{Z}/\ell^{m/2}$ .

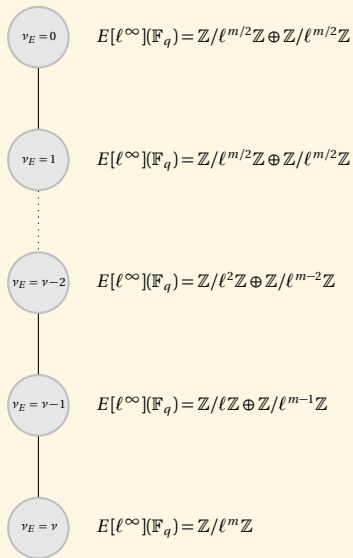
### Corollary

*When  $E[\ell^\infty](\mathbb{F}_q) = \mathbb{Z}/\ell^\alpha \oplus \mathbb{Z}/\ell^{m-\alpha}$  with  $\alpha \neq m/2$  we can read the  $\ell$ -valuation of the conductor of  $\text{End}_k(E)$  directly from the rational points!*

### Example

If  $\ell \parallel \#E(\mathbb{F}_q)$  then  $\text{End}_k(E)$  is maximal at  $\ell$  and the volcano has height 1.

# The structure of the $\ell^\infty$ -torsion in the volcano



## Torsion and extensions

- $v_\ell(f_{\pi^e}) = v_\ell(f_\pi)$  when  $\ell \nmid e$ ;
- $v_\ell(f_{\pi^\ell}) = v_\ell(f_\pi) + 1$ , except when  $\ell = 2$  and  $v_\ell(f_\pi) = 1$  when the height can increase by more than one [Fou01];
- If  $E[\ell^\infty](\mathbb{F}_q) = \mathbb{Z}/\ell^{n_1} \oplus \mathbb{Z}/\ell^{n_2}$  ( $n_1 \leq n_2$ ) with  $n_1 > 0$  and  $n_2 > 0$  then  $E[\ell^\infty](\mathbb{F}_{q^e}) = E[\ell^\infty](\mathbb{F}_q)$  when  $\ell \nmid e$ ;
- With the hypothesis above, if  $\ell > 2$ ,  $E[\ell^\infty](\mathbb{F}_q^\ell) = \mathbb{Z}/\ell^{n_1+1} \oplus \mathbb{Z}/\ell^{n_2+1}$ ;
- If  $\ell = 2$ ,  $n_1$  and  $n_2$  can increase by more than one (but when  $v_\ell(f_\pi) > 1$  then  $n_1$  only increase by 1) [IJ13].



## Number fields

- If  $K$  is a number field,  $E(K)$  is finitely generated (Mordell);
- $E(\mathbb{Q})_{\text{tors}} \in \{\mathbb{Z}/n\mathbb{Z} \mid 1 \leq n \leq 10 \text{ or } n = 12\} \cup \{\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}, \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/6\mathbb{Z}, \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/8\mathbb{Z}\}$  (Mazur).

$E(\bar{k})$  [Len96]

- $E(\bar{k}) = E(\bar{k})_{\text{tors}} \oplus E(\bar{k})/E(\bar{k})_{\text{tors}}$ ;
- $E(\bar{k})/E(\bar{k})_{\text{tors}}$  is equal to 0 if  $\bar{k}$  is the algebraic closure of a finite field, otherwise it is isomorphic as an  $\text{End}(E)$  module to  $\text{End}^0(E)^{\#k}$ ;
- Let  $\mathfrak{p}$  denotes the endomorphisms acting trivially on the tangent space  $T_0(E)$ ;
- If  $E$  is ordinary ( $\text{rank End}(E) = 2$ ),  $E(\bar{k})_{\text{tors}} = \text{End}(E)_{\mathfrak{p}} / \text{End}(E)$ ;
- Otherwise ( $\text{rank End}(E) = 4$ )  $E(\bar{k})_{\text{tors}} \oplus E(\bar{k})_{\text{tors}} = \text{End}(E)_{\mathfrak{p}} / \text{End}(E)$ .

## Corollary

$E(\bar{k}) = E(\bar{k})_{\text{tors}}$  if and only if  $\bar{k}$  is algebraic over a finite field.

## Proof.

If  $\bar{k}$  is algebraic over a finite field and  $P \in E(\bar{k})$ , the coordinates of  $P$  are defined over a finite field, so  $P$  is of torsion.

Conversely we may assume that  $\bar{k}$  is algebraic over  $\mathbb{F}_p(T)$  or  $\mathbb{Q}$  or  $\mathbb{Q}(T)$ . If  $E(\bar{k}) = E(\bar{k})_{\text{tors}}$  the Jordan-Hölder factors of the absolute Galois group would be of the form  $\text{PSL}_2(\mathbb{F}_q)$  (up to a finite number of exceptions). But  $\mathbb{F}_p(T)$ ,  $\mathbb{Q}$  and  $\mathbb{Q}(T)$  all have Galois extension with the symmetric groups  $S_n$  for all  $n$ . □

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